

Audit Committee

4 November 2016

Internal Audit Working Group

Purpose of Report: Progress report

The Group has met once since it last reported to Audit Committee:

Direct Payments	<p>The Working Group were updated on progress made in addressing the backlog of audits of direct payments. The service is looking to recruit additional temporary staff to address the backlog of nearly 200 audits. To date funds of £87K have been identified to be repaid.</p> <p>Further negotiations regarding a longer term solution to auditing direct payments are in hand.</p>
Software Licensing	An update was provided to the meeting regarding the current position relating to software licensing.
IT Business Continuity	Progress is being made in producing a skills matrix to inform workforce development. Some training has already been completed. An external partner has been engaged to assist with development of the Business Continuity Plan. A number of IT systems are to be reviewed and rationalised. Further work is being undertaken on multiple single points of failure and this will form a register. The replacement for the Draig server will not go ahead but will be replaced by an All Wales Social Care system which will go live on 30 November 2016.
School budget over-delegation	An over delegation of funds to schools had been made in 2015/16. An Internal Audit was carried out to ensure that procedures were in place to prevent a recurrence. The difficulties of recruiting to the post of Head of Schools, finance were noted. Members were satisfied that appropriate procedures were now in place to ensure that an over delegation would not be repeated.
Fraud Update	The Group were briefed on current fraud activity

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Sources: Notes of meeting – 11 October 2016

Group Membership: County Councillors J G Morris (Lead Member), E R Davies, W D Powell, D G Thomas, S L Williams and Mr J Brautigam